SEP 0 6 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FFICE 4

5 9-11-01 X

Application of:

Kyohji HATTORI

Serial No.

09/471,345

Group Art Unit: 2753

Date Filed

December 23, 1999

Examiner:

For

INITIALIZATION OF PHASE-CHANGE OPTICAL RECORDING MEDIUM

1185 Avenue of the Americas New York, N.Y. 10036

Assistant Commissioner for Patents Washington, D.C. 20231

RECEIVED

SEP 1 0 2001

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Sir:

The information listed in the attached form PTO-1449 is brought to the attention of the ...

Examiner. Copies of the information identified herein are also provided.

It is respectfully requested that the information cited in annexed Form PTO-1449 be considered by the Examiner in connection with the above-identified patent application, and that such art be made of record in said application.

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class man addressed to the Assistant Commessioner for Patents, Washington, D.C. 20231.

Richard F. Jaworski

Reg. No. 33,515

PT 4,2

Date

The enclosed items were cited in a search report completed on July 10, 2001 in connection with European Application No. 99125824.5 which corresponds to the above-identified application. A copy of the search report is enclosed.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that these items are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of the particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.)

The filing of this Information Disclosure Statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

To the best of Applicant's knowledge, this Information Disclosure Statement is being submitted prior to the first action on the merits.

The Office is hereby authorized to charge any additional fees which may be required for consideration of this Information Disclosure Statement and to credit any overpayment to our Deposit Account No. 03-3125.

Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

RICHARD F. JAWORSKI

Registration No. 33,515

Attorney for Applicants Cooper & Dunham LLP

Tel. (212) 278-0400